



04-22-03

1624

Attorney Docket No.: 5700.240-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jeppesen et al.

Serial No.: 09/994,971

Group Art Unit: 1624

Filed: November 27, 2001

Examiner: Tamthom Ngo Truong

Confirmation No.: 2944

For: New Compounds, Their Preparation and Use

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the attached correspondence comprising:

1. Amendment/Response

is being deposited with the United States Postal Service as Express Mail Label No. EV 257594973 US in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

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Attorney Docket No.: 5700.240-US

PATENT

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jeppesen et al.

Application No.: 09/994,971

Group Art Unit: 1624

Filed: November 27, 2001

Examiner: Truong, Tamthom Ngo

For: Tricyclic Compounds as Nuclear Receptor Modulators

**AMENDMENT/ RESPONSE**

Assistant Commissioner for Patents  
Washington, DC 20231

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Sir:

This amendment is submitted in response to the non-final Office Action on the merits mailed January 21, 2003, having a shortened statutory period for reply set to expire April 21, 2003, subject to extensions of time under the provisions of 37 CFR §1.136(a).

Claims numbered 1, 2, 7, 16, 18, 23, 24, 26-33, 36, 43, 44, and 50-54 are pending in the application. Claims numbered 1, 2, 7, 16, 18, 23, 24, 26-33, 36, 43, 44, and 50-54 have been rejected by the Examiner.

**AMENDMENT**

In the specification:

Kindly amend the paragraph found on page 6, lines 1-7 as follows:

C1  
-- Y represents oxygen, sulphur or  $\text{NR}^{10}$ , where  $\text{R}^{10}$  represents hydrogen,  $\text{C}_{1-12}$ alkyl, aryl, hydroxy $\text{C}_{1-12}$ alkyl or aralkyl groups or when Y is  $\text{NR}^{10}$ ,  $\text{R}^8$  and  $\text{R}^{10}$  may form a 5 or 6 membered nitrogen containing ring, optionally substituted with one or more  $\text{C}_{1-6}$ alkyl; n is an integer ranging from 1 to 4 and m is an integer ranging from 0 to 1; --